



**NOAA  
FISHERIES**

**Southeast  
Fisheries  
Science Center**

# **Stock Structure – Marine Mammals**

**SEFSC Protected Species Program Review**

**25-27 August 2015  
Miami, Florida**

# Marine Mammal Stocks- MMPA Mandates

Intertwining population-level and ecosystem-level objectives

The primary objective of marine mammal management “should be to maintain the health and stability of the marine ecosystem.”

- Species or stocks should remain a significant functioning element of their ecosystem
- The historic range should be preserved
- Stocks need to be maintained at their “optimum sustainable population” level (OSP)

# Stock Definition

## MMPA Unit of Management = “Population Stock”

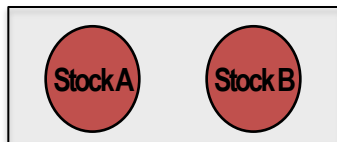
A group of marine mammals of the same species or smaller taxon in a common spatial arrangement, that interbreed when mature

## Functionally

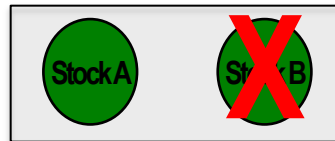
- Groups that are demographically independent– where a population’s growth rate is more a function of internal population processes (birth and death rates) than it is a function of external dynamic forces (immigration and emigration)
- Demographic independence: dispersal rates are less than a few percent per year
- Groups delineated by a low rate of genetic exchange
- SEFSC relies primarily on genetic data for delimiting stocks

# Importance of Accurate Stock Delimitation

## Identified



## Reality



## Result

- Incorrect abundance estimate for estimating allowable mortality
  - Risk local depletion or extinction
  - Risk losing unique diversity and adaptation
  - Does not maintain range
- 
- Restrictive on fishery or other commercial endeavor

# Importance of Accurate Stock Delimitation

Understanding stock structure provides the means to:

- Know who you are counting; accurate abundance estimates
- Know who is being impacted
  - Assess impacts of human-caused mortalities on the appropriate group
  - Protect unique gene pools
  - Protect local adaptation
  - Assess the health of the populations and quantify the risks
  - Understand trends in health parameters and disease
- Meet mandates under MMPA to maintain stock range and abundance

# Applications of Stock Structure Delineation

- MMPA Stock Assessment Reports
- Take Reduction Plan Development
- Section 7 consultation
- Injury Assessment (Bycatch, DWH)

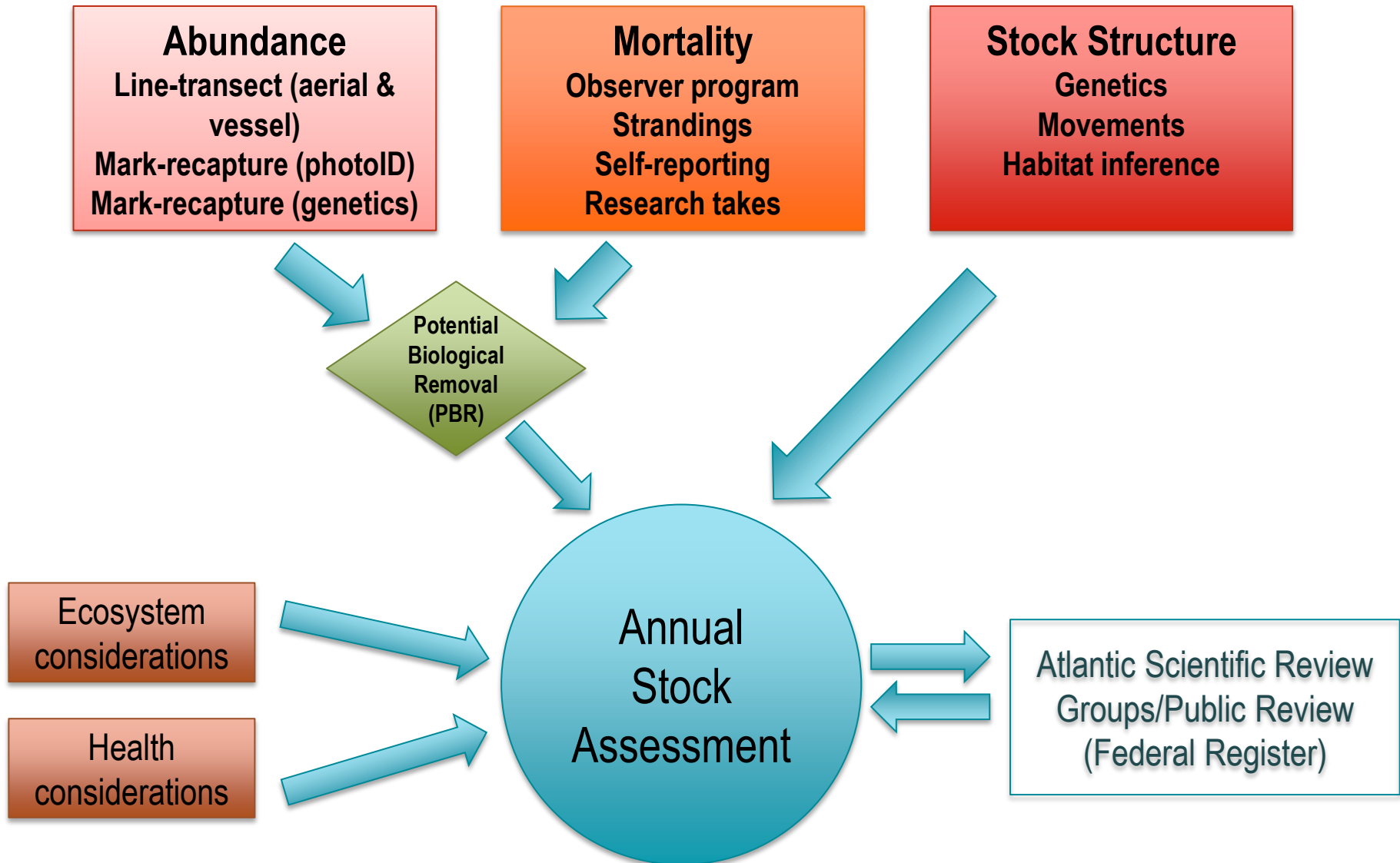


Striped dolphins in oiled waters. SEFSC MMPA Permit



Dolphin rescue after Hurricane Rita

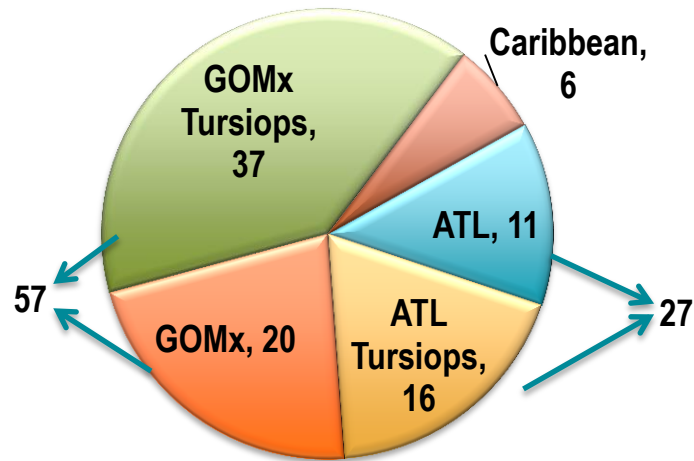
# Marine Mammal Stock Assessments



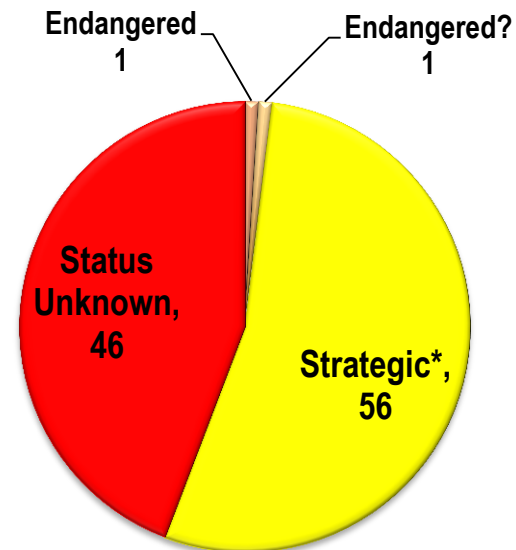


# Marine Mammal Stock Structure Needs

## SEFSC Responsible for 90 Marine Mammal SARs



## Status under ESA and MMPA



\* Human-caused mortality exceeds allowable levels



SEFSC MMPA Permit

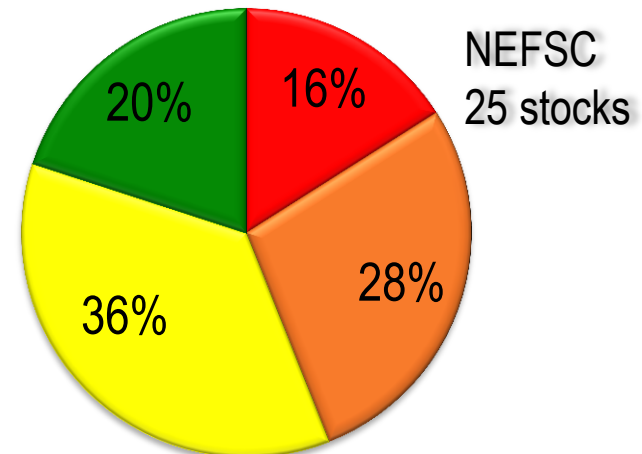
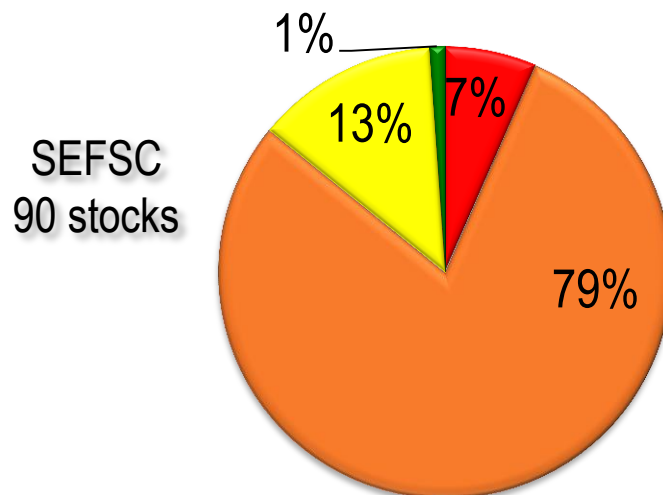


# Marine Mammal Stock Structure Assessment

## Adequacy of Stock Structure Information

(from 2004 Stock Assessment Improvement Plan, SAIP)

- 0 - **No information** (qualitative or otherwise)
- 1 - Structure **inferred** from analyses undertaken for other purposes
- 2 - Structure inferred from an analysis **specifically aimed at investigating population differentiation**
- 3 - Structure inferred from an integrative analysis of **at least two lines of evidence**
- 4 - **Estimates of dispersal rate** that include estimates of uncertainty



# SEFSC Stock Structure Research

Goal: Identify demographically independent populations

Standards for stock structure delineation

- Appropriate sample size, geographic sampling, temporal sampling
- Appropriate genetic markers and analytical tools

Methods

- Identify stock(s) to focus on – prioritize stocks
- Design field sampling – spatial and temporal sampling
- Collect and analyze data – Marine Mammal Molecular Genetics Laboratory in Lafayette, LA

# SEFSC Stock Structure Research - Sampling

- Large vessel surveys - shelf and oceanic stocks
  - Opportunistic during abundance surveys
  - Some dedicated biopsy cruises
- Small boat biopsy surveys- coastal and estuarine stocks
  - Directed
  - Labor intensive
- Strandings



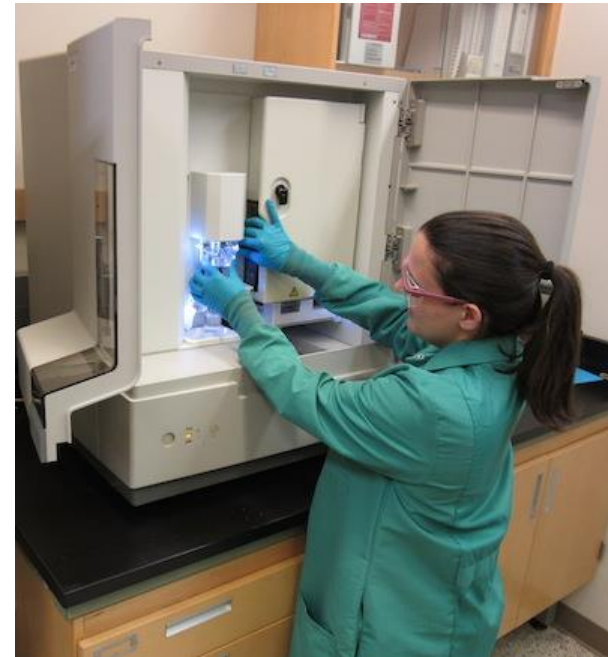
Typical biopsy sample which is subsampled for multiple analyses



# SEFSC Stock Structure Research- Laboratory Work

## Marine Mammal Molecular Genetics Lab, Lafayette, LA

- ~1,200 square feet facility
- 2 permanent staff, 1 contract technician
- Well-equipped facility for genetic analysis of stock structure, phylogenetics, genetic species identification



# SEFSC Stock Structure Research-Markers and Analyses

- **Markers**

- mitochondrial DNA sequence data
- 19-41 nuclear microsatellite loci
- Single nucleotide polymorphisms (SNPs)

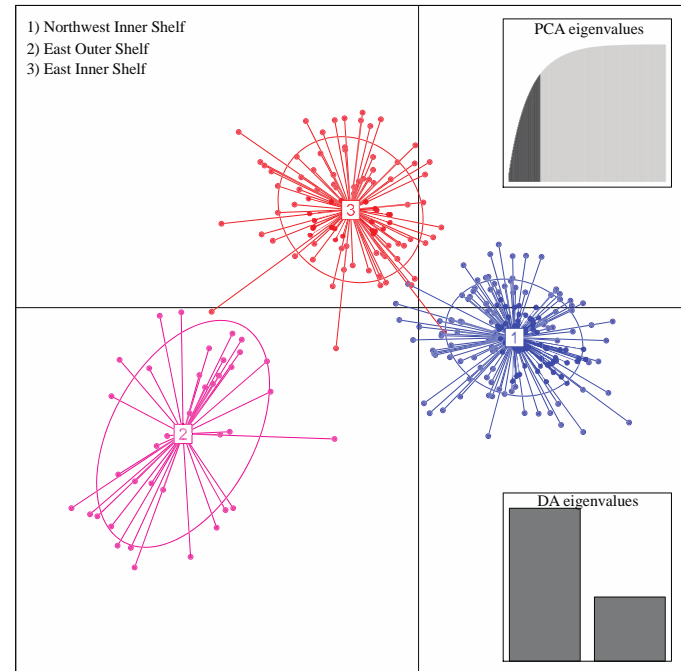
- **Analyses**

- **Population structure**

- $F_{ST}$  and analogues
- STRUCTURE (Bayesian cluster analysis)
- Principal components analysis
- MIGRATE (historical migration rates)

- **Phylogenetic reconstructions**

- Maximum likelihood and Bayesian methods



Differentiation among bottlenose dolphins on the shelf of the northern Gulf of Mexico

# Drivers for Prioritization of Stocks for Genetic Studies

- **Management needs and priorities**
  - **Take Reduction Teams (fisheries interactions/bycatch)**
    - Pelagic Longline TRT (both pilot whale species)
    - Bottlenose dolphin TRT (Atlantic coast)
  - **Other human interactions**
    - Unusual Mortality Events
    - Catastrophic events (e.g., oil spills)
    - Unauthorized research takes
    - Illegal harassment activities
    - Degree of commercial and residential development
- **Biological characteristics**
  - Population size (e.g., small bay, sound and estuary stocks, Bryde's whales)
  - Taxonomic uncertainty (e.g., Atlantic spotted dolphins)
  - Health-related issues (e.g., *Kogia*)



# Completed and ongoing studies

## Take Reduction Teams (i.e., commercial fisheries bycatch)

- Harbor porpoises (NEFSC) – gillnet fisheries
- Short-beaked common dolphins (NEFSC) – gillnet and trawl
- Bottlenose dolphins on Atlantic Coast (SEFSC) – gillnet fisheries
- Pilot whales (both species, both centers) – longline and trawl fisheries

## Uncertain taxonomy leading to inaccurate stock structure

- Atlantic spotted dolphins in NWAtl and GOMx (both centers)
- Bryde's whales in Gulf of Mexico (SEFSC)

## Bottlenose dolphins (Large stocks and small stocks)

- Gulf of Mexico open water stocks (SEFSC)
- Gulf of Mexico Bay, Sound and Estuary stocks (SEFSC)



# Atlantic Spotted Dolphin – Taxonomic uncertainty, cryptic population structure in GOMx

Problem: One stock in Atlantic with morphological differentiation

Goal:

- 1) Determine degree of genetic differentiation among Atlantic spotted dolphin morphotypes
- 2) Determine distribution of the two morphotypes in the western North Atlantic so stock structure accurately reflects the differentiation
- 3) Determine stock structure in ATL and GOMx

Method:

- n=397 over 11 years
- Sequenced mitochondrial control region
- Genotyped 19 microsatellite markers



Atlantic spotted dolphin

SEFSC MMPA Permit

# Atlantic Spotted Dolphin- Taxonomic uncertainty

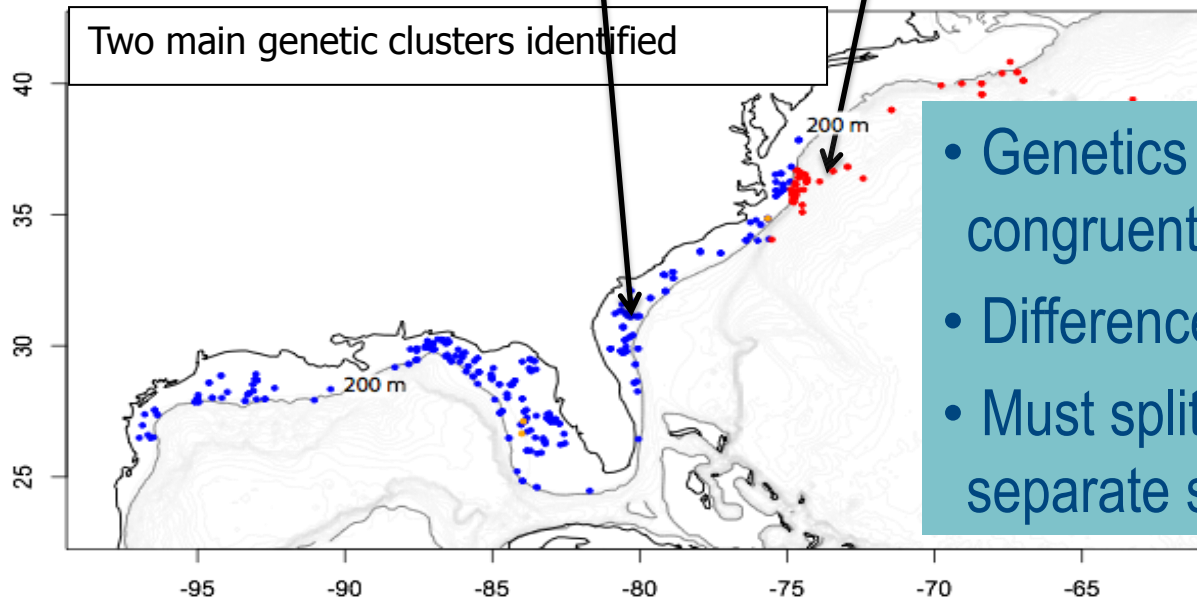


## Continental shelf waters

Larger body size and skull  
Medium to heavy spotting (in adults)



**Offshore waters** and around oceanic islands  
Smaller body size and skull  
Few spots

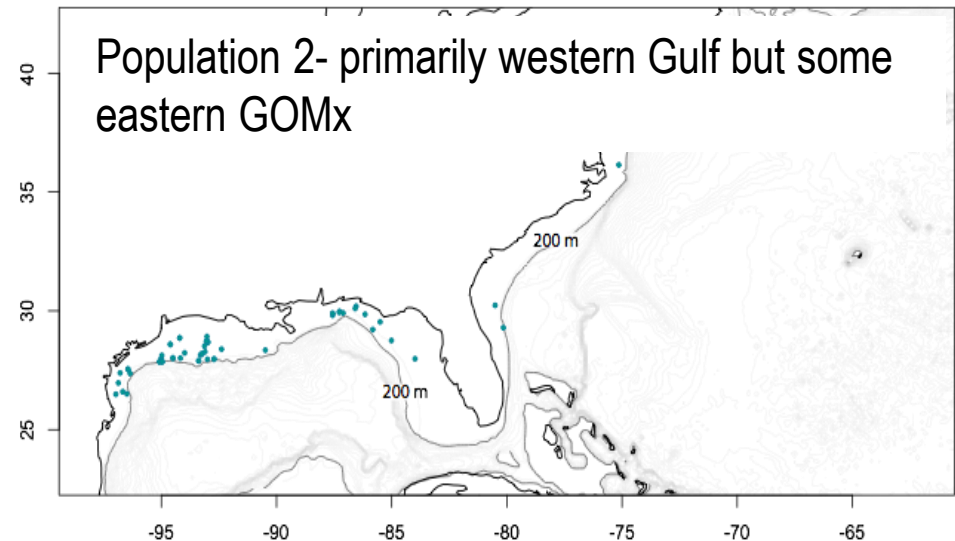
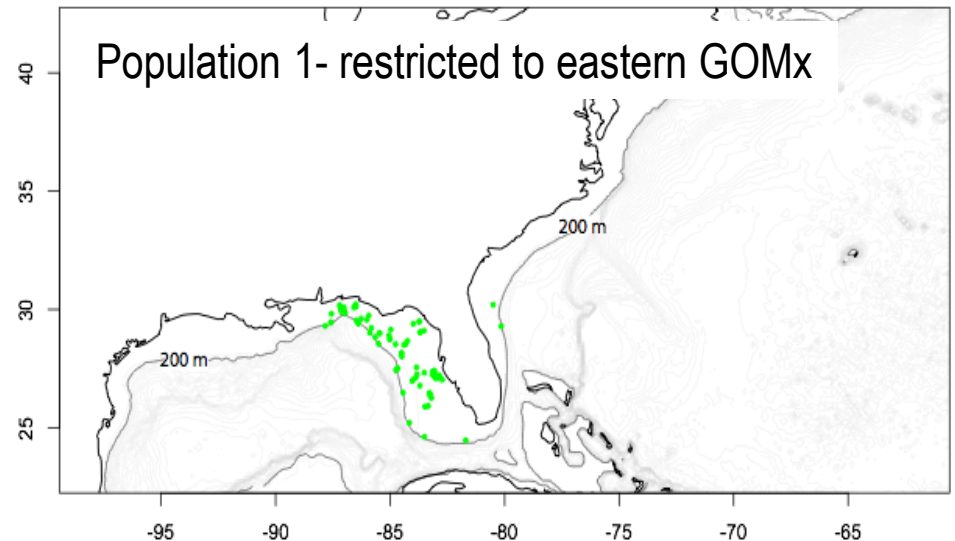
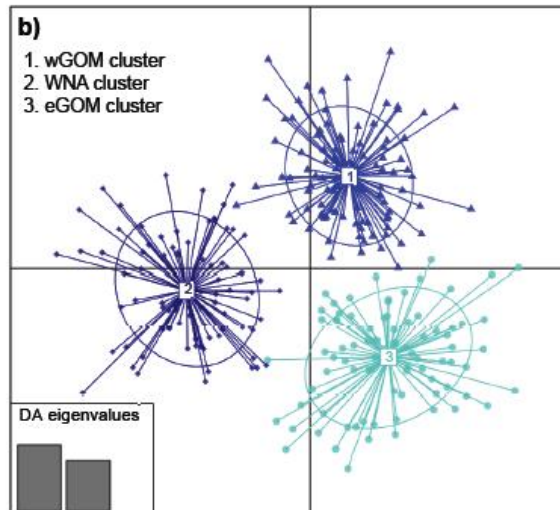


- Genetics and morphology are congruent
- Differences near sub-specific level
- Must split the Atlantic stock into two separate stocks

Viricel, A. and P. E. Rosel. 2014. Hierarchical population structure and habitat differences in a highly mobile marine species: the Atlantic spotted dolphin. *Molecular Ecology* 23:5018-5035.

# Atlantic Spotted Dolphin- cryptic structure

- Strong evidence for two populations within GOMx
- Some overlap in north-central GOMx
- Known biogeographic break in the area
- Challenge to identify boundary to delimit 2 stocks



(Viricel & Rosel 2014)

# Open water *Tursiops* in the Gulf of Mexico

Problem: 5 stocks delimited by inference of environmental characteristics Very broad geographic ranges

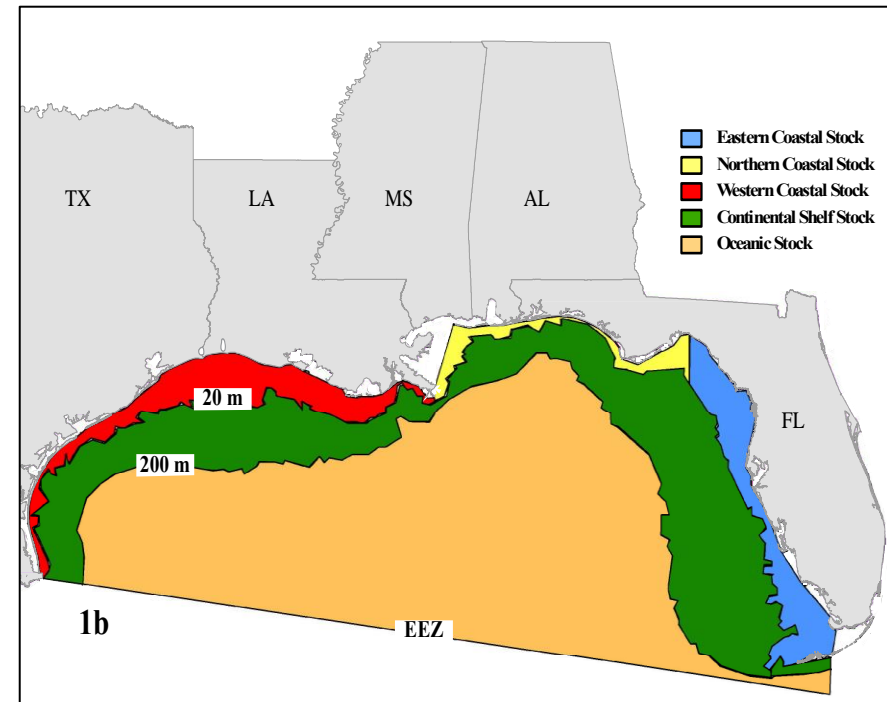
Goal: Understand stock structure for *Tursiops* in the GOMx

Method:

- Field sampling over 14 years n= 563
- Sequenced mitochondrial control region
- Genotyped 19 microsatellite markers
- Developed Single Nucleotide Polymorphism (SNP) markers (n=52) for *Tursiops*



Current stock structure for common bottlenose dolphins in GOMx



Vollmer & Rosel in prep. Identifying common bottlenose dolphin populations in coastal and offshore waters of the U.S. Gulf of Mexico using multiple molecular markers.

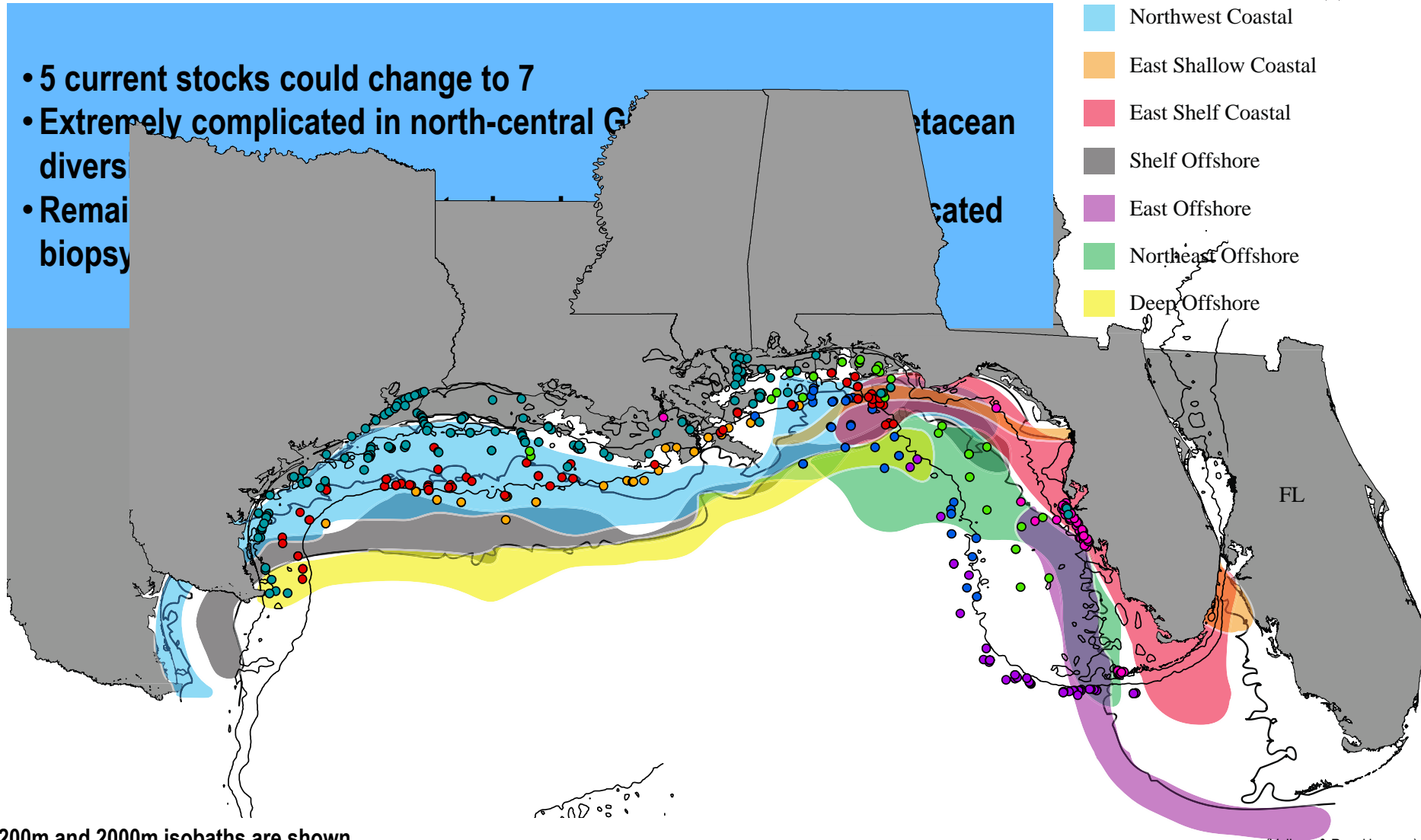


# Open water *Tursiops* in the Gulf of Mexico



- 5 current stocks could change to 7
- Extremely complicated in north-central Gulf
- Remains highly variable
- Remaining stocks are highly variable

- Northwest Coastal
- East Shallow Coastal
- East Shelf Coastal
- Shelf Offshore
- East Offshore
- Northeast Offshore
- Deep Offshore



200m and 2000m isobaths are shown

(Vollmer & Rosel in prep.)

# Stock Structure -Additional Methodologies

- Complementary methods
- Bring stock structure to adequacy score of 3
  - Stable Isotopes – North Carolina estuarine stock
  - Telemetry – Atlantic coastal Tursiops stocks
  - Photo-ID – Biscayne Bay, North Carolina estuarine stocks
  - Contaminants – Biscayne Bay
  - Passive acoustic monitoring – oceanic stocks



# Strengths

- Dedicated marine mammal genetics laboratory - allows for addressing stock questions and targeted marker development specific to our species
- Improved protection for stocks and identification of at risk stocks
- Management driven science
- Strong emphasis on data quality
- Extensive collaborations with other researchers



# Challenges

- Many stocks
- Sampling – few dedicated biopsy surveys, particularly in oceanic waters
- Throughput
- Keeping current with 21<sup>st</sup> century technologies and analytical advancements
- Balancing typical planned projects with unexpected workloads of major events

# Future Directions

- Incorporating next generation sequencing technologies
- Developing nuclear markers for improved species identification and stock assignment
- Improving understanding of stock structure for oceanic stocks and for bottlenose dolphin bay, sound and estuary stocks

# Discussion Topics

- Is the work we are doing reflective of scientific best practices?
- Do you see a need, and if so an opportunity, for SEFSC to shift resources from an existing activity to deal with an unmet need?
- Discuss the major limitations/weaknesses of the marine mammal genetic studies and how they could be resolved

Pilot whale and oil rig and support vessels in northern Gulf of Mexico

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